

FORMALITIES LETTER



OC00000005171886

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/544,795 | 04/07/2000 | Mark Baugher | 4698.P003 |

Robert A Diehl
Blakely Sokoloff Taylor & Zafman LLP
12400 Wilshire Boulevard
7th Floor
Los Angeles, CA 90025



Date Mailed: 06/12/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/16/2000 AWOMDAF1 00000018 09544795

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130.00 OP



Sector/

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PTO/SB/21 (12/97)
Approved for use through 9/30/2008. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| | | | |
|---|----|------------------------|-----------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application No. | 09/544,795 |
| | | Filing Date | April 7, 2000 |
| | | First Named Inventor | Mark J. Baugher |
| | | Group Art Unit | 2771 |
| | | Examiner Name | |
| Total Number of Pages in This Submission | 12 | Attorney Docket Number | 004698.P003 |

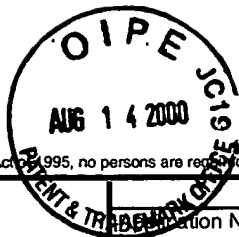
| ENCLOSURES (check all that apply) | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final Affidavits/declaration(s) | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> To Convert a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Terminal Disclaimer | 1) Request for Corrected Filing Receipt; and 2) Return Receipt Postcard. |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Small Entity Statement | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Request for Refund | |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Jason K. Klindtworth, Reg. No. P47,211 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature | |
| Date | August 8, 2000 |

| CERTIFICATE OF MAILING/TRANSMISSION | | | |
|---|--------------------|------|----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: August 8, 2000 | | | |
| Typed or printed name | Heather L. Adamson | | |
| Signature | | Date | August 8, 2000 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box



PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

Complete if Known

| | |
|------------------------|-----------------|
| Publication No. | 09/544,795 |
| Filing Date | April 7, 2000 |
| First Named Inventor | Mark J. Baugher |
| Examiner Name | |
| Group/Art Unit | 2771 |
| Attorney Docket Number | 004698.P003 |

TOTAL AMOUNT OF PAYMENT (\$) 130.00

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees to:
☒ The Commissioner is hereby authorized to credit any over payments to:

Deposit Account Number: 02-2666
Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 101 | 690 | 201 | 345 | Utility filing fee | |
| 106 | 310 | 206 | 155 | Design filing fee | |
| 107 | 480 | 207 | 240 | Plant filing fee | |
| 108 | 690 | 208 | 345 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | | | |
| Multiple Dependent | | | |

**or number previously paid, if greater, For Reissues, see below

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 78 | 202 | 39 | Independent claims in excess of 3 |
| 104 | 260 | 204 | 130 | Multiple Dependent claim, if not paid |
| 109 | 78 | 209 | 39 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130.00 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for response within first month | |
| 116 | 380 | 216 | 190 | Extension for response within second month | |
| 117 | 870 | 217 | 435 | Extension for response within third month | |
| 118 | 1,210 | 218 | 680 | Extension for response within fourth month | |
| 128 | 1,850 | 228 | 925 | Extension for response within fifth month | |
| 119 | 300 | 219 | 150 | Notice of Appeal | |
| 120 | 300 | 220 | 150 | Filing a brief in support of an appeal | |
| 121 | 260 | 221 | 130 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,210 | 241 | 605 | Petition to revive - unintentional | |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | |
| 143 | 430 | 243 | 215 | Design issue fee | |
| 144 | 580 | 244 | 290 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 790 | 246 | 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 790 | 249 | 395 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) | | | | | |
| Other fee (specify) | | | | | |

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 130.00

SUBMITTED BY

Typed or Printed Name: Jason K. Klindtworth

Signature:

Date: 08/08/00

Complete (if applicable)

Reg. Number: P47,211

Deposit Account User ID: 02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MARK J. BAUGHER, ET AL.

Application No.: 09/544,795

Filed: April 7, 2000

For: **NETWORK CONTENT MANAGEMENT**



Assistant Commissioner for Patents
Attention: Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed June 12, 2000, please find enclosed:

(1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;

(2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e);

(3) a copy of the Notice to File Missing Parts of Application

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplication of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Jason K. Klindtworth, Reg. No. P47,211

Dated: August 8, 2000

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: ~~Assistant~~ Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: August 8, 2000.

Heather L. Adamson

08/08/00

Date

AB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Baugher, et al.

Application No.: 09/544,795

Filed: April 7, 2000

For: NETWORK CONTENT
MANAGEMENT

Examiner: not yet assigned

Art Group: 2771

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed Commissioner for Patents, Washington, DC 20231 on:

Date of Deposit: August 8, 2000

Name of Person Mailing: Heather Adamson

Signature: *Heather Adamson* Date: 8/8/00

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Enclosed is a copy of the official Filing Receipt for the above-referenced patent application with errors noted thereon in red, as follows:

"Mark Baugher, Residence, NOT PROVIDED" should read --Mark J. Baugher,
Portland, OR--.

"Stu Farnham, Residence, NOT PROVIDED" should read --Stu Farnham, Sandy,
OR--.

"Steve Hemminger, Residence, NOT PROVIDED" should read --Stephen C.
Hemminger, Portland, OR--.

"R. Al Marchall, Residence, NOT PROVIDED" should read --Robert A. Marshall,
Portland, OR--.

"Mark Tait, Residence, NOT PROVIDED" should read --Mark Tait, Tigard, OR--.

Therefore, it is requested that the above errors be corrected and a new Filing Receipt be issued accordingly.

If any additional fee is required, please charge Deposit Account No. 02-2666. A Fee Transmittal is enclosed in duplicate for deposit account charging purposes.

Respectfully submitted,

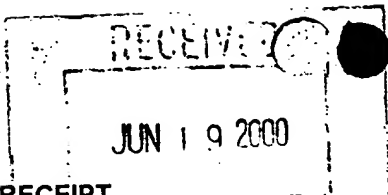
BLAKELY SOKOLOFF TAYLOR & ZAFMAN, LLP

Date: 8/8, 2000



Jason K. Klindtworth
Registration No. P47,211

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, CA 90025
(503) 684-6200



FILING RECEIPT



OC000000005171885

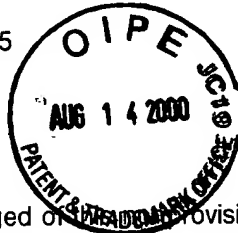
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|---------------|------------|
| 09/544,795 | 04/07/2000 | 2771 | 690 | 4698.P003 | 15 | 19 | 3 |

04698 P003
RAD
Passedge

Robert A Diehl
Blakely Sokoloff Taylor & Zafman LLP
12400 Wilshire Boulevard
7th Floor
Los Angeles, CA 90025

RECEIVED
JUN 15 2000



BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Date Mailed: 06/12/2000

Receipt is acknowledged on this Provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

☐ Mark Baugher, Residence, NOT PROVIDED; Mark J. Baugher, Portland, OR
☐ Stu Farnham, Residence, NOT PROVIDED; Sandy, OR
☐ Steve Hemminger, Residence, NOT PROVIDED; Stephen C. Hemminger, Portland, OR
☐ R. Al Marchall, Residence, NOT PROVIDED; Robert A. Marshall, Portland, OR
☐ Mark Tait, Residence, NOT PROVIDED; Tigard, OR

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/12/2000

Title

Network content management

Preliminary Class

707

ENTERED

JUN 15 2000

STATUS DB-LA